Matrix Product Codes over Finite Commutative Rings

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SFRR Matrices

Two-way (m') Matrices

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Construction of Codes: Introduction

 \mathbb{F}_{q}^{n} : vector space over a finite field \mathbb{F}_{q} . Code: $C \subseteq \mathbb{F}_{q}^{n}$.

 $\langle -, - \rangle$: usual Euclidean inner product in \mathbb{F}_{a}^{n} . That is

$$\langle \mathbf{x}, \mathbf{y} \rangle = x_1 y_1 + \dots + x_n y_n \in \mathbb{F}_q, \ \forall \mathbf{x}, \mathbf{y} \in \mathbb{F}_q^n$$

Dual code: $C^{\perp} := \{ \mathbf{x} \in \mathbb{F}_{a}^{n} \mid \langle \mathbf{c}, \mathbf{x} \rangle = 0, \forall \mathbf{c} \in C \}.$

Self-orthogonal: $C \subseteq C^{\perp}$. Self-dual: $C = C^{\perp}$.

Minimum Hamming distance: $d_H(C) = \min_{\mathbf{c} \neq \mathbf{c}' \in C} d_H(\mathbf{c}, \mathbf{c}').$

Minimum Hamming weight: $w_H(C) = \min_{\substack{0 \neq c \in C}} w_H(c)$.

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C is linear $(C \leq \mathbb{F}_q^n) \Rightarrow d_H(C) = w_H(C).$

Construction of Codes: Plotkin's Construction

There are many known methods for constructing longer codes from shorter ones.

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Plotkin's ($\mathbf{u} | \mathbf{u} + \mathbf{v}$)*-construction*, where $C_i \subseteq \mathbb{F}_q^n$, i = 1, 2 be codes over \mathbb{F}_q and $\mathbf{u} \in C_1$, $\mathbf{v} \in C_2$, gives a $(2n, |C_1| \cdot |C_2|, \min\{2d_1, d_2\})$ code.

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This construction can be rewritten as the following form:

$$\begin{bmatrix} C_1, C_2 \end{bmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \left\{ (\mathbf{u}, \mathbf{v}) \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \mid \mathbf{u} \in C_1, \mathbf{v} \in C_2 \right\}.$$

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Construction of Codes: Another Construction

The $(\mathbf{u} + \mathbf{v} + \mathbf{w} | 2\mathbf{u} + \mathbf{v} | \mathbf{u})$ -construction gives a code with parameters

$$(3n, |C_1| \cdot |C_2| \cdot |C_3|, \min\{3d_1, 2d_2, d_3\}),$$

where $\mathbf{u} \in C_1, \mathbf{v} \in C_2, \mathbf{w} \in C_3$.

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$$\begin{bmatrix} C_1, C_2, C_3 \end{bmatrix} \begin{pmatrix} 1 & 2 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} = \left\{ (\mathbf{c}_1, \mathbf{c}_2, \mathbf{c}_3) \begin{pmatrix} 1 & 2 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \mid \mathbf{c}_j \in C_j \right\}$$

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$$C = [C_1, C_1, C_2]T = \left\{ (\mathbf{a}, \mathbf{b}, \mathbf{x}) \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \mid \mathbf{a}, \mathbf{b} \in C_1, \mathbf{x} \in C_2 \right\}.$$

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Forney gave an estimation about Hamming distance bound for this construction:

 $\min\{d_{H}(C_{1} \cap C_{2}), 2d_{H}(C_{1}), 3d_{H}(C_{2})\} \geq d_{H}(C) \geq \min\{d_{H}(C_{1} \cap C_{2}), 2d_{H}(C_{1}), 3d_{H}(C_{1} + C_{2})\}.$

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G. D. Forney, *Coset codes II: binary lattices*, IEEE Trans. Inform. Theory, **34** (1988), 1152–1187.

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Construction of Codes: Matrix Product Codes

Blackmore and Norton (2001) generalized these constructions to a more general setting.

Let C_j be (n, M_j) codes over $\mathbb{F}_q, 1 \le j \le m$, A be an $m \times l$ matrix over \mathbb{F}_q . A *matrix product code* (MPC) $C = [C_1, \cdots, C_m]A$ over \mathbb{F}_q is the set of all the matrix products (as codewords)

$$(\mathbf{c}_{1},\cdots,\mathbf{c}_{m})A = \begin{pmatrix} c_{11}a_{11}+\cdots+c_{1m}a_{m1}&\cdots&c_{11}a_{1l}+\cdots+c_{1m}a_{ml}\\ \cdots&\cdots&\cdots\\ c_{n1}a_{11}+\cdots+c_{nm}a_{m1}&\cdots&c_{n1}a_{1l}+\cdots+c_{nm}a_{ml} \end{pmatrix}$$

where \mathbf{c}_j 's are written as $n \times 1$ column vectors. That is:

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How to determine the parameters of the MPCs?

Construction of Codes: NSC Matrix

Consider the following three matrices:

$$A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, B = \begin{pmatrix} 1 & 2 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, T = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

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Blackmore and Norton introduced the following concepts.

An $m \times I$ matrix is a *non-singular by columns matrix* (NSC) if for any $1 \le k \le m$, and any $1 \le j_1 < \cdots < j_k \le I$, the determinant of the following submatrix is nonzero in \mathbb{F}_q :

$$\det A(j_1,\cdots,j_k) = \det \begin{pmatrix} a_{1j_1} & \cdots & a_{1j_k} \\ \cdots & \cdots & \cdots \\ a_{kj_1} & \cdots & a_{kj_k} \end{pmatrix} \neq 0.$$

A matrix is called triangular if it is a column permutation of an upper-triangular matrix.

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Theorem 1.1 (Blackmore-Norton)

Let C_k be $(n, M_k, d_H(C_k))$ codes over \mathbb{F}_q for $1 \le k \le m$.

(i) If A is an $m \times l$ NSC matrix over \mathbb{F}_q . Then C is a $(nl, \prod_{k=1}^m M_k, d_H(C))$ code with the minimum distance $d_H(C) \ge \min\{(l-k+1)d_H(C_k) | 1 \le k \le m\}.$

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T. Blackmore and G. H. Norton, *Matrix-product codes over* \mathbb{F}_q , Appl. Algebra Engrg. Comm. Comput., **12** (2001), 477–500.

Theorem 1.2 (van Asch)

Let R be a finite chain ring. Let C_k be $(n, M_k, d_{hom}(C_k))$ codes over R for $1 \le k \le m$.

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B. van Asch, *Matrix-product codes over finite chain rings*, Appl. Algebra Engrg. Comm. Comput., **19** (2008), 39–49.

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What Are We Going to Do?

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► Discuss the properties of matrix product codes over finite commutative Frobenius rings.

▶ Bound the minimum distance of matrix product codes constructed with several types of matrices in different ways.

• Explicitly describe the dual codes of matrix product codes in terms of matrix product codes again.

Matrices over Rings: Notations

R, a finite commutative ring with identity $1 \neq 0$. Writing the identity element 1 of the ring *R* as the sum of the primitive idempotents of *R*, we obtain an isomorphism

$$R \xrightarrow{\cong}_{\varphi} R_1 \oplus \cdots \oplus R_s, \quad r \longmapsto (r^{(1)}, \cdots, r^{(s)}), \qquad (2.1)$$

where R_1, \dots, R_s are local commutative rings.

With the isomorphism (2.1), in the following we usually identify R with $R_1 \oplus \cdots \oplus R_s$ and just write $r = (r^{(1)}, \cdots, r^{(s)})$.

Matrices over Rings: Frobenius Rings

R is called Frobenius if $(C^{\perp})^{\perp} = C$ for any submodule C of any free R-module R^n .

• *R* is Frobenius $\Rightarrow |C^{\perp}||C| = |R|^n$ for any submodule *C* of *Rⁿ*.

▶ The reason that finite Frobenius rings are suitable for coding alphabets is that two fundamental theorems (Macwilliams identity and Macwilliams extension theorem) hold (Jay Wood, 1999).

▶ With the isomorphism (2.1), R is Frobenius \Leftrightarrow every local component R_i is Frobenius.

Matrices over Rings: Notations-Continued

A matrix $A = (a_{ij})_{m imes l} \in \mathrm{M}_{m imes l}(R)$ can be written as

$$A = \left(A^{(1)}, \cdots, A^{(s)}\right), \quad A^{(k)} = \left(a_{ij}^{(k)}\right)_{m \times l} \in \mathcal{M}_{m \times l}(R_k), \ 1 \le k \le s,$$
(2.2)

where the matrix addition and product are the coordinate-wise addition and product, respectively.

Written any element $\mathbf{a} = (a_1, \cdots, a_n)^T \in \mathbb{R}^n$ as a column vector. With the identification in (2.1), we can write

$$R^n = R_1^n \oplus \cdots \oplus R_s^n$$
, $\mathbf{a} = (\mathbf{a}^{(1)}, \cdots, \mathbf{a}^{(s)})$,

where $\mathbf{a}^{(k)} = (a_1^{(k)}, \cdots, a_n^{(k)})^T$ is a column vector in R_k^n .

Matrices over Rings: Linear Independence

For any integer $t \ge 1$, let $\mathbf{a}_i = (a_{i1}, \cdots, a_{in}) \in \mathbb{R}^n, i = 1, \cdots, t$.

The vectors $\mathbf{a}_1, \dots, \mathbf{a}_t$ are said to be *linearly dependent* if there exists (b_1, \dots, b_t) in the set difference $R^t \setminus \{\mathbf{0}\}$ such that $b_1\mathbf{a}_1 + \dots + b_t\mathbf{a}_t = \mathbf{0}$; otherwise, $\mathbf{a}_1, \dots, \mathbf{a}_t$ are said to be *linearly independent*.

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The vectors $\mathbf{a}_1, \dots, \mathbf{a}_t \in \mathbb{R}^n$ are linearly independent if and only if, for all k with $1 \le k \le s$, the vectors $\mathbf{a}_1^{(k)}, \dots, \mathbf{a}_t^{(k)} \in \mathbb{R}_k^n$ are linearly independent.

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The vectors $\mathbf{a}_1, \dots, \mathbf{a}_t \in \mathbb{R}^n$ are linearly independent if and only if, for all k with $1 \le k \le s$, the vectors $\mathbf{a}_1^{(k)}, \dots, \mathbf{a}_t^{(k)} \in \mathbb{R}_k^n$ are linearly independent.

If an *R*-submodule of R^n is generated by vectors $\mathbf{a}_1, \dots, \mathbf{a}_t$ which are linearly independent, then it is a free *R*-module of rank *t* and we say that $\mathbf{a}_1, \dots, \mathbf{a}_t$ form a *basis* of the free submodule.

Matrices over Rings: Definitions

Let $A = (a_{ij})_{m \times I}$ be a matrix over R.

▶ If the rows of *A* are linearly independent, then we say that *A* is a *full-row-rank (FRR)* matrix.

▶ If there is an $I \times m$ matrix B over R such that AB = I, then we say that A is *right-invertible* and B is a right inverse of A.

▶ If m = l and the determinant det A is a unit of R, then we say that A is *non-singular*.

▶ If, for every t with $1 \le t \le m$, any $t \times t$ submatrix of the first (resp., last) t rows of A is non-singular, then we say that A is non-singular by columns (resp., reversely non-singular by columns).

Matrices over Rings: Solutions of LES

Proposition 2.1

Let $A \in M_{m \times l}(R)$ be FRR and let $X = (x_1, \dots, x_l)^T$, where x_i 's are variables. Then the set of solutions of the linear equation system (LES) $AX = \mathbf{0}$ is a free submodule in R^l of rank l - m and we have an FRR $(l - m) \times l$ matrix G over R whose rows form a basis of this free submodule.

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Idea of proof:

▶ *R* is local ⇒ there exists an invertible $I \times I$ matrix *P* over *R* such that $AP = (I | 0)_{m \times I}$. Write $AX = \mathbf{0}$ as $(AP)(P^{-1}X) = \mathbf{0}$.

From local to general case.

Matrix Product Codes: Notations

$$A = (a_{ij})_{m \times l} \in M_{m \times l}(R)$$
. For any index $1 \le k \le m$.

 $U_A(k)$: linear code over R of length I generated by the *i*th rows of A, for $i = 1, 2, \dots, k$.

 $L_A(k)$: linear code over R of length I generated by the *i*th rows of A, for $i = k, k + 1, \dots, m$.

 $U_A(m) = L_A(1)$: linear code over R of length I generated by all the rows of A. Convention: $U_A(0) = L_A(m+1) = \{0\}$.

Using the notation above, the set of solutions of the linear equation system $AX = \mathbf{0}$ is the dual code $L_A(1)^{\perp}$ of the code $L_A(1)$.

If A is FRR then $L_A(1)^{\perp} = L_G(1)$, where G is defined in Prop 2.1.
Matrix Product Codes: Distance Bound

 C_j : (n, M_j) codes over $R(j = 1, \dots, m)$. $A = (a_{ij})_{m \times l}$: FRR matrix over R. Matrix product code

 $[C_1,\cdots,C_m]A = \{(\mathbf{c}_1,\cdots,\mathbf{c}_m)A \mid \mathbf{c}_1 \in C_1,\cdots,\mathbf{c}_m \in C_m\}. (3.1)$

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Theorem 3.1

Assume the notations given above. Let w be a weight on R. Then $C = [C_1, \dots, C_m]A$ is an $(n!, \prod_{j=1}^m M_j)$ code over R with minimum distance $d_w(C)$ satisfying

$$d_w(C) \geq \min\left\{d_H(C_k)d_w(U_A(k)) \mid k = 1, \cdots, m\right\}, \quad (3.2U)$$

$$d_w(C) \geq \min \left\{ d_H(C_k) d_w(L_A(k)) \mid k = 1, \cdots, m \right\}.$$
 (3.2L)

Idea of proof:

Idea of proof: (i) $A \text{ is FRR} + Equation 3.1 \Rightarrow C \text{ is an } (nl, \prod_{j=1}^{m} M_j) \text{ code.}$

Idea of proof: (i) A is FRR + Equation 3.1 $\Rightarrow C \text{ is an } (nl, \prod_{j=1}^{m} M_j) \text{ code.}$

(ii) For any $\mathbf{c} \neq \mathbf{c}' \in C$. Write $\mathbf{c}_j - \mathbf{c}'_j = \mathbf{b}_j$. There is an index k such that $\mathbf{b}_j = \mathbf{0}$ for all j < k but $\mathbf{b}_k \neq \mathbf{0}$.

Idea of proof:
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$$\mathbf{c} - \mathbf{c}' = (\mathbf{0}, \cdots, \mathbf{0}, \mathbf{b}_k, \cdots, \mathbf{b}_m) A = (\mathbf{b}_k, \cdots, \mathbf{b}_m) \begin{pmatrix} A_k \\ \vdots \\ A_m \end{pmatrix}$$

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For each nonzero b_{ik} , the weight of the *i*th row of $\mathbf{c} - \mathbf{c}'$ is:

$$w(b_{ik}A_k+b_{i,k+1}A_{k+1}+\cdots+b_{im}A_m)\geq d_w(L_A(k)).$$

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$$w(b_{ik}A_k+b_{i,k+1}A_{k+1}+\cdots+b_{im}A_m)\geq d_w(L_A(k)).$$

(iii) The proof of the second inequality is similar.

Matrix Product Codes: Duals of MPCs

The following result describes the dual of a matrix product code constructed with an FRR matrix.

Theorem 3.2

Let C_1, \dots, C_m be codes over a Frobenius ring R of length n, and let $A \in M_{m \times l}(R)$ be FRR. Assume that $B \in M_{l \times m}(R)$ is a right inverse of A and $G \in M_{(l-m) \times l}(R)$ is a generator matrix of the dual code $L_A(1)^{\perp}$ of $L_A(1)$. Set $\tilde{B} = (B | G^T)$. Then the dual code of $C = [C_1, \dots, C_m]A$ is

$$C^{\perp} = [C_1^{\perp}, \cdots, C_m^{\perp}, \underbrace{\mathbb{R}^n, \cdots, \mathbb{R}^n}_{l-m}]\tilde{B}^T$$
$$= [C_1^{\perp}, \cdots, C_m^{\perp}]B^T + \mathcal{M}_{n \times (l-m)}(R)G$$

Matrix Product Codes: Duals of MPCs

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$$\begin{aligned} \mathcal{L}^{\perp} &= [C_1^{\perp}, \cdots, C_m^{\perp}, \underbrace{\mathcal{R}^n, \cdots, \mathcal{R}^n}_{l-m}] \tilde{B}^T \\ &= [C_1^{\perp}, \cdots, C_m^{\perp}] B^T + \mathrm{M}_{n \times (l-m)}(R) G \end{aligned}$$

Note that here we don't need A to be square.

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Idea of proof:

Idea of proof: We can prove that $\tilde{B} = (B | G^T)$ is invertible such that A is the $m \times I$ submatrix of $\tilde{A} = \tilde{B}^{-1} = \left(\frac{A}{A'}\right)$. Then

$$C = [C_1, \cdots, C_m]A = [C_1, \cdots, C_m, \underbrace{0, \cdots, 0}_{l-m}]\tilde{A}.$$

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$$C = [C_1, \cdots, C_m]A = [C_1, \cdots, C_m, \underbrace{0, \cdots, 0}_{l-m}]\tilde{A}.$$

Show that

$$[C_1^{\perp},\cdots,C_m^{\perp},\underbrace{R^n,\cdots,R^n}_{l-m}]\tilde{B}^{\mathcal{T}} \subseteq C^{\perp}.$$

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$$C = [C_1, \cdots, C_m]A = [C_1, \cdots, C_m, \underbrace{0, \cdots, 0}_{l-m}]\tilde{A}.$$

Show that

$$[C_1^{\perp},\cdots,C_m^{\perp},\underbrace{R^n,\cdots,R^n}_{l-m}]\tilde{B}^T \subseteq C^{\perp}.$$

$$R \text{ is Frobenius} \Rightarrow |[C_1^{\perp}, \cdots, C_m^{\perp}, \underbrace{R^n, \cdots, R^n}_{l-m}]\tilde{B}^{T}| = |C^{\perp}|.$$

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SFRR Matrices: Singleton Bound

Let C be a nonzero code of length n over a finite commutative ring R. The following is the *Singleton bound* for codes over R:

$$d_H(C) \le n - \log_{|R|} |C| + 1.$$
 (4.1)

If C is a free code over R of length I, then the equation above becomes

$$d_H(C) \leq I - \operatorname{rank}(C) + 1.$$

If the equality holds in (4.1), then we say that C is a *maximum* distance separable (MDS) code over R.

A free code of length l and rank m, which we shall call an [l, m] code (over R), has FRR generator matrices of size $m \times l$.

SFRR Matrices: Definitions

Let A be an FRR $m \times I$ matrix over R.

- (i) If $U_A(m) = L_A(1)$ is an [I, m] MDS code, then we say that A is a strongly full-row-rank (SFRR) matrix.
- (ii) For $t \ge 2$, if there is a sequence of indices $0 = i_0 < i_1 < \cdots < i_t = m$ such that $U_A(i_h)$, for $h = 0, 1, \cdots, t$, are MDS codes, then we say that A is an (i_1, \cdots, i_{t-1}) -SFRR matrix. (When t = 1, A is just an SFRR matrix.)
- (iii) For $t \ge 2$, if there is a sequence of indices $1 = i_0 < i_1 < \cdots < i_{t-1} < i_t = m+1$ such that $L_A(i_h)$, for $h = 0, 1, \cdots, t$, are MDS codes, then we say that A is a reversely (i_1, \cdots, i_{t-1}) -SFRR matrix. (When t = 1, A is just an SFRR matrix.)

SFRR Matrices: Some Properties

Suppose $A \in M_{m \times I}(R)$ is FRR. We have the following proposition.

Proposition 4.2

Let $0 = i_0 < i_1 < \cdots < i_t = m$. Assume that $\tilde{A} \in M_{I \times I}(R)$ is an invertible matrix with A as the submatrix consisting of its first m rows. Then the following are equivalent.

(i) A is an (i_1, \dots, i_{t-1}) -SFRR matrix.

(ii) $(\tilde{A}^{-1})^T$ is a reversely $(i_1 + 1, \dots, i_{t-1} + 1, m+1)$ -SFRR matrix (or, if m = I, a reversely $(i_1 + 1, \dots, i_{t-1} + 1)$ -SFRR matrix).

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SFRR Matrices: Some Properties-Continued

Corollary 4.1

Let $A \in M_{m \times I}(R)$ be FRR. Assume that $\tilde{A} \in M_{I \times I}(R)$ is an invertible matrix that has A as the submatrix of its first m rows. Then the following statements are equivalent:

(i) A is non-singular by columns.
(ii) A is a
$$(1, 2, \dots, m-1)$$
-SFRR matrix.
(iii) $(\tilde{A}^{-1})^T$ is a reversely $(2, \dots, m, m+1)$ -SFRR matrix. (When $m = l$, $(\tilde{A}^{-1})^T$ is a reversely $(2, \dots, m)$ -SFRR matrix.)

In particular, when m = l, the square matrix A is non-singular by columns if and only if $(A^{-1})^T$ is reversely non-singular by columns.

SFRR Matrices: An Example

Example 4.1

$$T = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$
, for the $(a + x|b + x|a + b + x)$ -construction.

- T is a (2)-SFRR matrix.
- T is not NSC, since $U_T(1)$ is not MDS.
- ► *T* is also a reversely (3)-SFRR matrix.

•
$$(T^{-1})^T = \begin{pmatrix} 0 & -1 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & -1 \end{pmatrix}$$
 is a reversely (3)-SFRR matrix.

SFRR Matrices: Hamming Distance Lower Bound

Theorem 4.1

Let $A \in M_{m \times I}(R)$ be an (i_1, \dots, i_{t-1}) -SFRR matrix, where $0 = i_0 < i_1 < \dots < i_t = m$. Let C_1, \dots, C_m be codes over R of length n and let $C = [C_1, \dots, C_m]A$. Then

$$d_{H}(C) \geq \min \{ (l - i_{h} + 1)d_{H}(C_{k_{h}}) \mid h = 1, \cdots, t, \ i_{h-1} < k_{h} \leq i_{h} \}.$$
(4.10)

Furthermore, if the following three conditions are satisfied:

(E1)
$$C_1, \cdots, C_m$$
 are linear,

(E2)
$$C_1 = \cdots = C_{i_1}, C_{i_1+1} = \cdots = C_{i_2}, \cdots, C_{i_{t-1}+1} = \cdots = C_{i_t} (= C_m),$$

(E3) $C_{i_1} \supseteq C_{i_2} \supseteq \cdots \supseteq C_{i_t},$

then

$$d_{H}(C) = \min \{ (l - i_{h} + 1)d_{H}(C_{i_{h}}) \mid h = 1, \cdots, t \}.$$
(4.2U)

SFRR Matrices: Sketch Proof of Theorem 4.1

Idea of proof (I) By Theorem 3.1 (3.2U), we have

 $d_H(C) \geq \min \left\{ d_H(U_A(k)) d_H(C_k) \mid 1 \leq k \leq m \right\}.$

 $i_{h-1} < k \leq i_h \Rightarrow U_A(k) \subseteq U_A(i_h) + |d_H(U_A(i_h)) = l - i_h + 1|$

$$d_H(U_A(k))d_H(C_k) \ge (l - i_h + 1)d_H(C_k), \qquad i_{h-1} < k \le i_h.$$

(II) Set $m_h = i_h - i_{h-1}$, for $h = 1, \dots, t$. We can show that there is a block lower triangular matrix Q such that QA is a block upper triangular matrix

$$QA = \begin{pmatrix} Q_1 & & & \\ * & Q_2 & & \\ \vdots & \ddots & \ddots & \\ * & \cdots & * & Q_t \end{pmatrix} A = \begin{pmatrix} I_{m_1} & * & \cdots & * & \cdots & * \\ & I_{m_2} & \cdots & * & \cdots & * \\ & & \ddots & \vdots & \vdots & \vdots \\ & & & & I_{m_t} & \cdots & * \end{pmatrix}$$

SFRR Matrices: Sketch Proof-Continued

The matrix Q_h is an invertible $m_h \times m_h$ matrix for each $h = 1, \dots, t$, and the i_h th row of QA takes the form

$$(\underbrace{0, \cdots, 0}_{i_h-1}, 1, u_{i_h,i_h+1}, \cdots, u_{i_h,l})$$

with $u_{i_h,j}$ being a unit of R for every $j = i_h + 1, \dots, l$. Using (E1),(E2) and (E3), one can get

$$C = [C_1, \cdots, C_m]A = ([C_1, \cdots, C_m]Q^{-1})QA = [C_1, \cdots, C_m]QA.$$

Inequality (4.1U) in Theorem 4.1 | + Condition (E2) | implies

$$d_H(C) \geq \min \left\{ (I-i_h+1)d_H(C_{i_h}) \mid h=1,\cdots,t \right\}.$$

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SFRR Matrices: Sketch Proof-Continued

Now it is enough to show, for each h with $1 \le h \le t$, there is some $\mathbf{c} \in C$ such that $w_H(\mathbf{c}) = (l - i_h + 1)d_H(C_{i_h})$.

Take $\mathbf{c}_{i_h} = (c_1, \cdots, c_n)^T \in C_{i_h}$ such that $w_H(\mathbf{c}_{i_h}) = d_H(C_{i_h})$, and take a codeword $\mathbf{c} \in C$:

$$\mathbf{c} = (\mathbf{0}, \cdots, \mathbf{0}, \mathbf{c}_{i_h}, \mathbf{0}, \cdots, \mathbf{0})(QA) = (\underbrace{\mathbf{0}, \cdots, \mathbf{0}}_{i_h-1}, \mathbf{c}_{i_h}, u_{i_h,i_h+1}\mathbf{c}_{i_h}, \cdots, u_{i_h,l}\mathbf{c}_{i_h}).$$

Then

$$w_H(\mathbf{c}) = w_H(\mathbf{c}_{i_h}) + w_H(u_{i_h,i_h+1}\mathbf{c}_{i_h}) + \cdots + w_H(u_{i_h,l}\mathbf{c}_{i_h}) = (l-i_h+1)d_H(C_{i_h}).$$

SFRR Matrices: A Dual Version of Theorem 4.1

Theorem 4.2

Let A be a reversely (i_1, \dots, i_{t-1}) -SFRR $m \times I$ matrix over R, where $1 = i_0 < i_1 < \dots < i_{t-1} < i_t = m + 1$. Then

 $d_{H}(C) \geq \min \{ (I - m + i_{h}) d_{H}(C_{k_{h}}) \mid h = 0, 1, \cdots, t - 1, \ i_{h} \leq k_{h} < i_{h+1} \}.$ (4.2L)

With further conditions $(E1^*)=(E1)$ and

$$\begin{array}{l} (\mathsf{E2}^*) \ (C_1 =) C_{i_0} = \cdots = C_{i_1-1}, \ C_{i_1} = \cdots = C_{i_2-1}, \ \cdots, \ C_{i_{t-1}} = \cdots = C_m, \\ (\mathsf{E3}^*) \ C_{i_0} \subseteq C_{i_1} \subseteq \cdots \subseteq C_{i_{t-1}}, \end{array}$$

then

$$d_{H}(C) = \min \{ (I - m + i_{h}) d_{H}(C_{i_{h}}) \mid h = 0, 1, \cdots, t - 1 \}.$$
(4.3L)

SFRR Matrices: A Dual Version of Theorem 4.1

Theorem 4.2

Let A be a reversely (i_1, \dots, i_{t-1}) -SFRR $m \times I$ matrix over R, where $1 = i_0 < i_1 < \dots < i_{t-1} < i_t = m + 1$. Then

 $d_{H}(C) \geq \min \{ (I - m + i_{h}) d_{H}(C_{k_{h}}) \mid h = 0, 1, \cdots, t - 1, i_{h} \leq k_{h} < i_{h+1} \}.$ (4.2L)

With further conditions $(E1^*)=(E1)$ and

$$\begin{array}{l} (\mathsf{E2}^*) \ (C_1 =) C_{i_0} = \cdots = C_{i_1-1}, \ C_{i_1} = \cdots = C_{i_2-1}, \ \cdots, \ C_{i_{t-1}} = \cdots = C_m, \\ (\mathsf{E3}^*) \ C_{i_0} \subseteq C_{i_1} \subseteq \cdots \subseteq C_{i_{t-1}}, \end{array}$$

then

$$d_{H}(C) = \min \{ (I - m + i_{h}) d_{H}(C_{i_{h}}) \mid h = 0, 1, \cdots, t - 1 \}.$$
(4.3L)

The proof for the dual version is the same as that for Theorem 4.1.

SFRR Matrices: Duals

Let $A \in M_{m \times l}(R)$ be an (i_1, \dots, i_{t-1}) -SFRR matrix, where R is a finite Frobenius ring and $0 = i_0 < i_1 < \dots < i_t = m$.

In the following, we estimate the minimum Hamming distance of C^{\perp} , where $C = [C_1, \dots, C_m]A, C_1, \dots, C_m$ are codes of length n.

▶ Dual code of *C*:

$$C^{\perp} = [C_1^{\perp}, \cdots, C_m^{\perp}, \underbrace{\mathcal{R}^n, \cdots, \mathcal{R}^n}_{I-m}] (\tilde{A}^{-1})^T, \qquad (4.4)$$

where $\tilde{A} \in M_{I \times I}(R)$ is an invertible matrix with A as the submatrix consisting of its first m rows.

▶ If m < l, we have $C_{m+1}^{\perp} = \cdots = C_l^{\perp} = R^n$ and set $i_{t+1} = l$ for convenience.

SFRR Matrices: Duals-Continued

Theorem 4.3

Let the notations be as above. Then

$$d_{H}(C^{\perp}) \geq \min \left\{ (i_{h}+1)d_{H}(C_{k_{h}}^{\perp}) \mid h = 0, 1, \cdots, t, i_{h}+1 \leq k_{h} < i_{h+1}+1 \right\}.$$
(4.5)
Furthermore, if the following three conditions are satisfied:
(E1) C_{1}, \cdots, C_{m} are linear,
(E2) $C_{1} = \cdots = C_{i_{1}}, C_{i_{1}+1} = \cdots = C_{i_{2}}, \cdots, C_{i_{t-1}+1} = \cdots = C_{i_{t}},$
(E3) $C_{i_{1}} \supseteq C_{i_{2}} \supseteq \cdots \supseteq C_{i_{t}},$
then the equality holds in (4.5), i.e.,
 $d_{H}(C^{\perp}) = \min \left\{ (i_{h}+1)d_{H}(C_{i_{h}+1}^{\perp}) \mid h = 0, 1, \cdots, t \right\}.$ (4.6)

SFRR Matrices: Duals-Continued

Theorem 4.3

Let the notations be as above. Then

$$d_{H}(C^{\perp}) \ge \min \left\{ (i_{h}+1)d_{H}(C_{k_{h}}^{\perp}) \mid h = 0, 1, \cdots, t, i_{h}+1 \le k_{h} < i_{h+1}+1 \right\}.$$
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(E1) C_{1}, \cdots, C_{m} are linear,
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 $d_{H}(C^{\perp}) = \min \left\{ (i_{h}+1)d_{H}(C_{i_{h}+1}^{\perp}) \mid h = 0, 1, \cdots, t \right\}.$ (4.6)

If m < l, the terms in (4.5) for h = t are: $(i_t + 1)d_H(C_{k_t}^{\perp}) = m + 1$.

SFRR Matrices: Sketch Proof of Theorem 4.3

$$\begin{array}{l} A \text{ is } (i_1, \cdots, i_{t-1}) \text{-}\mathsf{SFRR}, \ 0 = i_0 < i_1 < \cdots < i_t = m, \ \tilde{B} = \tilde{A}^{-1}. \\ \hline U_{\tilde{A}}(i_h) = U_A(i_h), \ \text{MDS codes} \\ \Leftrightarrow \ L_{\tilde{B}^T}(i_h + 1), \ \text{MDS codes}. \\ \hline \mathrm{rank}(L_{\tilde{B}^T}(i_h + 1)) = l - i_h \\ \Rightarrow \ d_H(L_{\tilde{B}^T}(i_h + 1)) = i_h + 1, \ \text{where} \\ h = 0, 1, \cdots, t. \ \text{By Theorem 4.2 (see (4.2L)), we have that} \\ d_H(C^{\perp}) \geq \min \left\{ (i_h + 1)d_H(C_{k_h}^{\perp}) \mid h = 0, 1, \cdots, t, \ i_h + 1 \leq k_h < i_{h+1} + 1 \right\}. \\ \mathrm{If} \ i_t = m < l, \ \text{for any } k \ \text{with} \ m < k \leq l, C_k^{\perp} = R^n, \ \mathrm{then} \\ (i_t + 1)d_H(C_{k_t}^{\perp}) = m + 1, \qquad i_t + 1 = m + 1 \leq k_t < l + 1 = i_{t+1} + 1. \\ \hline \mathrm{Conditions} \ (\mathrm{E1}) \text{-} (\mathrm{E3}) \ \mathrm{hold} \ \Rightarrow \hline \mathrm{Conditions} \ (\mathrm{E1}^*) \text{-} (\mathrm{E3}^*) \ \mathrm{hold} \ \mathrm{for} \\ \mathrm{dual \ codes. \ By \ Theorem 4.2 (see (4.3L)), \ \mathrm{the \ equality} \ (4.6) \ \mathrm{holds.} \end{array}$$

SFRR Matrices: Special Case

Corollary 4.2

Let $A \in M_{m \times l}(R)$ be a $(1, 2, \dots, m-1)$ -SFRR matrix, let

 C_1, \cdots, C_m be codes of length *n*, and $C = [C_1, \cdots, C_m]A$. Then

 $d_H(C) \ge \min \{ l \cdot d_H(C_1), (l-1)d_H(C_2), \cdots, (l-m+1)d_H(C_m) \}$ and

$$d_{H}(C^{\perp}) \geq \begin{cases} \min\left\{1 \cdot d_{H}(C_{1}^{\perp}), \cdots, m \cdot d_{H}(C_{m}^{\perp}), m+1\right\} & \text{if } m < l, \\ \min\left\{1 \cdot d_{H}(C_{1}^{\perp}), \cdots, m \cdot d_{H}(C_{m}^{\perp})\right\} & \text{if } m = l. \end{cases}$$

Further, if C_1, \dots, C_m are linear and $C_1 \supseteq \dots \supseteq C_m$, then equalities are attained in all these inequalities.

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 $d_{H}(C) \geq \min \left\{ l \cdot d_{H}(C_{1}), (l-1)d_{H}(C_{2}), \cdots, (l-m+1)d_{H}(C_{m}) \right\}$ and

$$d_{H}(C^{\perp}) \geq \begin{cases} \min\left\{1 \cdot d_{H}(C_{1}^{\perp}), \cdots, m \cdot d_{H}(C_{m}^{\perp}), m+1\right\} & \text{if } m < l, \\ \min\left\{1 \cdot d_{H}(C_{1}^{\perp}), \cdots, m \cdot d_{H}(C_{m}^{\perp})\right\} & \text{if } m = l. \end{cases}$$

Further, if C_1, \dots, C_m are linear and $C_1 \supseteq \dots \supseteq C_m$, then equalities are attained in all these inequalities.

Corollary 4.2 generalizes the results of Blakemore-Norton (2001) and Van-Asch (2008) from two directions:

- (I) fields (chain rings) \Rightarrow commutative rings;
- (II) square \Rightarrow non-square.

Two-way (m') Matrices: Turyn's Construction-Revisited

Turyn's
$$(\mathbf{a} + \mathbf{x} | \mathbf{b} + \mathbf{x} | \mathbf{a} + \mathbf{b} + \mathbf{x})$$
-construction gives a MPC
 $C = [C_1, C_1, C_2]T = \left\{ (\mathbf{a}, \mathbf{b}, \mathbf{x}) \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \mid \mathbf{a}, \mathbf{b} \in C_1, \mathbf{x} \in C_2 \right\}.$

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Two-way (m') Matrices: Turyn's Construction-Revisited

Turyn's $(\mathbf{a} + \mathbf{x} | \mathbf{b} + \mathbf{x} | \mathbf{a} + \mathbf{b} + \mathbf{x})$ -construction gives a MPC

$$C = [C_1, C_1, C_2]T = \left\{ (\mathbf{a}, \mathbf{b}, \mathbf{x}) \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \middle| \mathbf{a}, \mathbf{b} \in C_1, \mathbf{x} \in C_2 \right\}.$$

The matrix T is (2)-SFRR and reversely (3)-SFRR, by Theorem 4.1 and Theorem 4.2, we obtain a lower bound for code C:

 $d_{H}(C) \geq \max \{ \min\{2d_{H}(C_{1}), d_{H}(C_{2})\}, \min\{d_{H}(C_{1}), 3d_{H}(C_{2})\} \}.$

Two-way (m') Matrices: Turyn's Construction-Revisited

Turyn's $(\mathbf{a} + \mathbf{x} | \mathbf{b} + \mathbf{x} | \mathbf{a} + \mathbf{b} + \mathbf{x})$ -construction gives a MPC $C = [C_1, C_1, C_2]T = \begin{cases} (\mathbf{a}, \mathbf{b}, \mathbf{x}) \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} | \mathbf{a}, \mathbf{b} \in C_1, \mathbf{x} \in C_2 \end{cases}.$

The matrix T is (2)-SFRR and reversely (3)-SFRR, by Theorem 4.1 and Theorem 4.2, we obtain a lower bound for code C:

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Forney's (1988) result for the Hamming distance bound:

 $\min\{d_{H}(C_{1} \cap C_{2}), 2d_{H}(C_{1}), 3d_{H}(C_{2})\} \geq \\ d_{H}(C) \geq \min\{d_{H}(C_{1} \cap C_{2}), 2d_{H}(C_{1}), 3d_{H}(C_{1} + C_{2})\}.$

Note that the two lower bounds cannot be compared directly, in many cases, the latter is better then the former.

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Two-way (m') Matrices: Definition

We will generalize Forney's result to a more general case.

Let $A \in M_{m \times l}(R)$ be FRR. A is called a *two-way* (m')-SFRR matrix if there is an index m' with $1 \le m' < m$ such that A is both an (m')-SFRR matrix and a reversely (m' + 1)-SFRR matrix.

Two-way (m') Matrices: Definition

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Let $A \in M_{m \times l}(R)$ be FRR. A is called a *two-way* (m')-SFRR matrix if there is an index m' with $1 \le m' < m$ such that A is both an (m')-SFRR matrix and a reversely (m' + 1)-SFRR matrix.

Set m' + m'' = m, any $m \times I$ matrix A can be written as $A = \left(\frac{A'}{A''}\right)$, where A' is an $m' \times I$ matrix consisting of the first m' rows of A while A'' is an $m'' \times I$ matrix consisting of the last m'' rows of A. With this partitioned form, A is a two-way (m')-SFRR matrix if and only if A', A'' and A are all SFRR matrices.
Two-way (m') Matrices: Bounds for Codes

Let $A \in M_{m \times l}(R)$, let m' + m'' = m with $m' \ge m'' \ge 1$, and let C' and C'' be linear codes over R of length n. Consider the matrix product code

$$C = [\underbrace{C', \cdots, C'}_{m'}, \underbrace{C'', \cdots, C''}_{m''}]A.$$
(5.1)

If A is a two-way (m')-SFRR matrix, then from (4.1U) and (4.2L) of Theorem 4.1 and Theorem 4.2, we have a lower bound for $d_H(C)$ as follows:

$$d_{H}(C) \geq \max \left\{ \begin{array}{l} \min\{(l-m'+1)d_{H}(C'), \ (l-m+1)d_{H}(C'')\}, \\ \min\{(l-m+1)d_{H}(C'), \ (l-m''+1)d_{H}(C'')\} \\ (5.2) \end{array} \right\}$$

Two-way (m') Matrices: Bounds for Codes

Let $C_{\cap} = C' \cap C''$, we have more bounds for $d_H(C)$ as follows.

Theorem 5.1

Let the notations be as in (5.1). If A is a two-way (m')-SFRR matrix, then

$$d_{H}(C) \geq \min \left\{ (I-m'+1)d_{H}(C'), (I-m''+1)d_{H}(C'+C''), (I-m+1)d_{H}(C_{\cap}) \right\}$$
(5.3)

and

$$d_{H}(C) \leq \min \{ (l-m'+1)d_{H}(C'), (l-m''+1)d_{H}(C''), (l-m+1)d_{H}(C_{\cap}) \}.$$
(5.4)

Note that the two lower bounds in (5.2) and in (5.3) cannot be compared directly in general, since $d_H(C'')$ in (5.2) and $(I - m'' + 1)d_H(C' + C'')$ in (5.3) are not comparable in general.

Two-way (m') Matrices: Idea of Proof

Idea of proof: We first consider the upper bound (5.4).

$$C = [C', \cdots, C', C'', \cdots, C''] A \supseteq [C', \cdots, C', C_{\cap}, \cdots, C_{\cap}] A \Rightarrow$$

$$d_{H}(C) \leq \min \{ (l-m'+1)d_{H}(C'), (l-m+1)d_{H}(C_{\cap}) \}.$$
 (5.5)

$$C = [C', \cdots, C', C'', \cdots, C'']A \supseteq [C_{\cap}, \cdots, C_{\cap}, C'', \cdots, C'']A \Rightarrow$$

 $d_{H}(C) \leq \min \{ (l - m'' + 1) d_{H}(C''), (l - m + 1) d_{H}(C_{\cap}) \}.$ (5.6)

For the lower bound (5.3). We partition A as $A = \begin{pmatrix} A' \\ A'' \end{pmatrix}$, where A'(A'') is the $m' \times I(m'' \times I)$ matrix consisting of the first m' (the last m'') rows of A. By computing the Hamming weight of nonzero codeword $\mathbf{c} = (\mathbf{c}'_1, \dots, \mathbf{c}'_{m'})A' + (\mathbf{c}''_1, \dots, \mathbf{c}''_{m''})A''$ of C, we can obtain (5.3).

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Two-way (m') Matrices: Turyn's Construction-Revisted

Take *R* to be the binary field and $T = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$. Then *T* is a two-way (2)-SFRR matrix. The bounds in (5.3) and (5.4) of

Theorem 5.1 give the estimation on the minimum distance of C:

$$\min\{d_H(C' \cap C''), 2d_H(C'), 3d_H(C'')\} \ge d_H(C) \ge \min\{d_H(C' \cap C''), 2d_H(C'), 3d_H(C' + C'')\}.$$

Another lower bound is given by (5.2):

 $d_{H}(C) \geq \max \{ \min\{2d_{H}(C'), d_{H}(C'')\}, \min\{d_{H}(C'), 3d_{H}(C'')\} \}.$

Two-way Matrices: An Example, where R is a binary field

Table 5.1						
Code	generator matrix	Code	generator matrix			
$C_1: [4,1,4]$	(1,1,1,1)	$C_2 \cap C_1$: [4,0,0]				
<i>C</i> ₂ : [4,2,2]	$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \end{pmatrix}$	$C_2 + C_1$: [4,3,1]	$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$			
<i>C</i> ₃ : [4,2,2]	$ \left(\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	$C_3 \cap C'_3$: [4,1,4]	(1,1,1,1)			
C' ₃ : [4,2,2]	$\begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$	$C_3 + C'_3$: [4,3,2]	$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$			

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<i>C</i> ₃ : [4,2,2]	$ \left(\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	$C_3 \cap C'_3$: [4,1,4]	(1,1,1,1)		
<i>C</i> ₃ ['] : [4,2,2]	$\begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$	$C_3 + C'_3$: [4,3,2]	$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$		

Table 5.2

Code C	parameters	argument for $d_H(C)$
$[C_2, C_2, C_1]T$	[12, 5, 4]	$d_H(C) \ge 4$ (by (5.2)), $d_H(C) \ge 3$ (by (5.3))
$[C_3, C_3, C_3']T$	[12, 6, 4]	$d_H(C) \ge 4$ (by (5.3)), $d_H(C) \ge 2$ (by (5.2))
$[C_3, C_3, C_1]T$	[12, 5, 4]	by (4.2U),(note that $C_3 \supseteq C_1$)

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Construction of Codes

Matrices over Rings

Matrix Product Codes

SFRR Matrices

Two-way (m') Matrices

Thank You

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